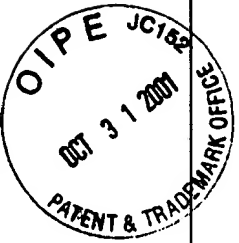


2164



<p align="center"><b>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</b></p>	<i>Application Number</i>	09/373,786
	<i>Filing Date</i>	August 13, 1999
	<i>First Named Inventor</i>	James P. LEISTENSNIDER
	<i>Group Art Unit</i>	2164
	<i>Examiner Name</i>	Jeffrey Pwu
	<i>Attorney Docket Number</i>	2449-103
<p><i>Title of the Invention: METHOD AND SYSTEM FOR CREATING A PORTFOLIO OF STOCK EQUITIES BASED ON MARKET CAPITALIZATION AND SALES</i></p>		

#3/a  
J. G. G. G.  
11-14-01

### AMENDMENT

RECEIVED  
NOV 05 2001  
Group 2100

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the first Office action mailed July 31, 2001, please amend the subject patent application as follows.

**In the Claims:**

--1. (amended) A computer-implemented method for creating a portfolio of equity stocks, comprising the steps of:

determining the composition of a predetermined broad based stock index by accessing a database and creating a list of the stocks making up said index;

obtaining from said database for each stock in said index, data relating to at least market capitalization and sales of the company issuing the stock;

sorting said index list by market capitalization and setting the lowest market capitalization among a predetermined number of stocks in said sorted index list as a predetermined value; and

sorting said index list by sales and placing into said portfolio, until a predetermined number of stocks are reached, a stock having the highest sales of said sales-sorted list and having a market capitalization not less than said predetermined value.

2. (amended) The computer-implemented method of claim 1, wherein said predetermined value of said market capitalization is the top twenty percent of said broad based stock index.--;

--4. (amended) A computer-implemented system for creating a portfolio of equity stocks, comprising:

a1

a2